

## Hong Kong Market Service Fees

| Fee and Classification            | Percentage / Amount  |
|-----------------------------------|--|
| <b>(A) Securities Trading</b>     |  |
| Commission Rate                   | Standard rate: 0.25%(minimum HK\$100.00)   |
| Transaction Levy (charged by SFC) | 0.0027% on transaction amount  |
| Transaction Levy (AFRC)           | 0.00015% of transaction amount   |
| Investor Levy                     | 0% of transaction amount   |
| HKEX Trading Fee                  | 0.00565% of transaction amount   |
| Stamp duty                        | 0.10% of transaction amount  |
|                                   | Note:  |
|                                   | 1)Paid by buyer and seller   |
|                                   | 2)Payment roundup to HK\$1.00  |
| CCASS Fee                         | 0.002% of gross value of an Exchange trade (broker to broker basis), subject to a minimum fee of HK\$2 and maximum of HK\$100 per side per trade |

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| <b>(B) Physical shares and/or Settlement</b>   |   |
| Physical share deposit                         | HK\$5.00 per transfer deed  |
| Withdrawal of Physical share                   | HK\$3.50 per board lot  |
|  | HK\$50.00 handling fee for each stock   |
| Settlement Instruction (SI)- transfer out only | Minimum HK\$200.00 handling fee (Market Value x 0.25%) each stock                     |
| Mandatory Buy-in                               | (0.25% of trading amount - Minimum HK\$100) + charges and penalty from Clearing House |

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|--------------------------|----------------|
| <b>(C) Account Fee</b>   |                |
| Custody Fee              | Free of charge |
| Margin share custody fee | Free of charge |

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| <b>(D) Nominee &amp; Corporate Action Fee</b>                |   |
| Scrip Fee  | HK\$1.50 per board lot (CCASS Charge)                                     |
| Dividend Payment or Cash Dividend with scrip option payment: | HK\$20 handling fee per each + CCASS charge (Dividend payment x 0.12%)    |
| Voting   | Waive   |
| Subscription Rights / Warrant Exercise                       | HK\$20.00 for each subscription + CCASS charge (HK\$0.80 per lot)         |
| Privatization/Share offer                                    | HK\$20.00 for each subscription + CCASS charge (HK\$0.80 per lot) + stamp |
| Claim for non-receipt rights                                 | Minimum HK\$800.00 + CCASS charges  |

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| <b>(E) Other</b>                            |  |
| IPO subscription (Cash)                     | HK\$100.00   |
| IPO subscription (margin finance)           | HK\$100.00   |
| Interest on margin finance                  | According to market rate   |
| Cash client late settlement interest (p.a.) | Prime rate + 10% (BOCHK HKD prime rate)  |
| Margin client debit balance interest (p.a.) | Prime rate + 3% (BOCHK HKD prime rate)   |
| E-mail statement                            | Free of charge   |
| Paper statement                             | Free of charge   |
| Request of Historical Statements            | Within past 3 months – Free of charge  |
|   | Beyond past 3 months –HK\$200.00 per month   |
| Withdrawal Fee                              | Cheque – Free of charge  |
|   | Handling fee of HK\$20 will be charged for cheque amount less than HKD\$100.   |
|   | Telegraphic transfer (TT) and local transfer (CHATS) according to the bank charge + Handling charge HK\$200 per each |
|   | Exchange rate according to bank rate when execution (limited to currency accepted by bank in Hong Kong)              |
| Returned cheque                             | HK\$200  |
| Letter of confirmation on asset             | HK\$300.00 per letter  |

The above charges are subject to change from time to time without prior notice. Date updated: 15 January, 2024.